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23026 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42P18020

(maximum 12 characters)

First Named Inventor Ervin T. Hill et al.Title: A METHOD AND APPARATUS FOR SELF-ALIGNED MOS PATTERNINGExpress Mail Label No. EV 409 359 560 US

ADDRESS TO: **Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450**

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. X **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. X **Specification (Total Pages 15)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. X **Drawings(s) (35 USC 113) (Total Sheets 6)**
5. X **Oath or Declaration (Total Pages 4)**
 - a. X Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 18 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper

15364 U.S. PTO
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032404

c. _____ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. X **Assignment Papers (cover sheet & documents(s))**
10. _____ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
 X b. Power of Attorney
11. _____ English Translation Document (if applicable)
12. X a. Information Disclosure Statement (IDS)/PTO-1449 (or PTO/SB/08)
 X b. Copies of IDS Citations
13. _____ **Preliminary Amendment**
14. X **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. _____ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. _____ **Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17A. _____ Other: _____

- 17B. X Pursuant to 37 C.F.R. 1.136(a)(3), applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet Under 37 C.F.R. 1.76:

_____ Continuation _____ Divisional _____ Continuation-in-part (CIP)
Of Prior Application No.: _____ Examiner _____ Group Art Unit _____
(which is a _____ continuation/ _____ divisional/ _____ CIP of prior application no. _____,
which is a _____ continuation/ _____ divisional/ _____ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. Correspondence Address
_____ Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label
here)

 X Correspondence Address Below

NAME Michael A. Bernadicou

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard
Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-8383

Name (PRINT/TYPE): Michael A. Bernadicou

Registration No.: 35,934

Signature: *Michael A. Bernadicou*

Date: 3/24/04

23026 U.S. PTO

FEE TRANSMITTAL FOR FY 2004

(FY 2004 Begins 10/01/2003)

| | |
|-------------------------------------|-------------------|
| TOTAL AMOUNT OF PAYMENT (\$) | \$1,036.00 |
|-------------------------------------|-------------------|

Complete if Known:

Application No. Not yet assigned

Filing Date Herewith

First Named Inventor Ervin T. Hill et al.

Examiner Name Not yet assigned

| | |
|----------|------------------|
| Art Unit | Not yet assigned |
|----------|------------------|

Attorney Docket No. 42P18020

Applicant claims small entity status. See 37 CFR 1.27.

METHOD OF PAYMENT (check all that apply)

☒ **Check** ☐ **Credit Card** ☐ **Money Order** ☐ **Other** ☐ **None**

Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name: _____

X The Director is Authorized to do the following with respect to the above-identified Deposit Account:

Charge fee(s) indicated below.

X Credit any overpayments.

| | |
|-------------------------------------|---|
| <input type="checkbox"/> | Charge any late payments. |
| <input checked="" type="checkbox"/> | Charge any additional fees during the pendency of this application. |

 X Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Charge fee(s) indicated below except for the filing fee.

FEE CALCULATION

1. BASIC FILING FEE

| <u>Large Entity</u> | | <u>Small Entity</u> | | | |
|---------------------|------|---------------------|------|------------------------------------|-----------------|
| Fee | Fee | Fee | Fee | | |
| Code | (\$) | Code | (\$) | <u>Fee Description</u> | <u>Fee Paid</u> |
| 1001 | 770 | 2001 | 385 | Utility application filing fee | \$770.00 |
| 1002 | 340 | 2002 | 170 | Design application filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional application filing fee | |

SUBTOTAL (1) \$ 770.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | | | | | | |
|--------------------|----|---------------------|----|-----------------------|----------|-----------------|
| | | | | <u>Fee from below</u> | | <u>Fee Paid</u> |
| | | <u>Extra Claims</u> | | | | |
| Total Claims | 30 | - 20** = | 10 | X \$18.00 = | \$180.00 | |
| Independent Claims | 4 | - 3** = | 1 | X \$86.00 = | \$86.00 | |
| Multiple Dependent | | | | \$290.00 = | | |

****Or number previously paid, if greater; For Reissues, see below.**

| <u>Large Entity</u> | | <u>Small Entity</u> | | |
|---------------------|------|---------------------|------|---|
| Fee | Fee | Fee | Fee | |
| Code | (\$) | Code | (\$) | <u>Fee Description</u> |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid |
| 1204 | 86 | 2204 | 43 | **Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$ 266.00

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| <u>Large Entity</u> | | <u>Small Entity</u> | | <u>Fee Description</u> | <u>Fee Paid</u> |
|---------------------|-----------------|---------------------|-----------------|--|-----------------|
| <u>Fee Code</u> | <u>Fee (\$)</u> | <u>Fee Code</u> | <u>Fee (\$)</u> | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | _____ |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | _____ |
| 1053 | 130 | 1053 | 130 | Non-English specification | _____ |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | _____ |
| 1813 | 8,800 | 1813 | 8,800 | Request for inter parties reexamination | _____ |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | _____ |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | _____ |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | _____ |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | _____ |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | _____ |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | _____ |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | _____ |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | _____ |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | _____ |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | _____ |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | _____ |
| 1452 | 110 | 2452 | 55 | Petition to revive – unavoidable | _____ |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | _____ |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | _____ |
| 1502 | 480 | 2502 | 240 | Design issue fee | _____ |
| 1503 | 640 | 2503 | 320 | Plant issue fee | _____ |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | _____ |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | _____ |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | _____ |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | _____ |
| 1809 | 770 | 2809 | 385 | For filing a submission after final rejection (see 37 CFR 1.129(a)) | _____ |
| 1814 | 110 | 2814 | 55 | Statutory Disclaimer | _____ |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (see 37 CFR 1.129(b)) | _____ |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | _____ |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | _____ |
| 1504 | 300 | 1504 | 300 | Publication fee for early, voluntary, or normal pub. | _____ |
| 1505 | 300 | 1505 | 300 | Publication fee for republication | _____ |
| 1803 | 130 | 1803 | 130 | Request for voluntary publication or republication | _____ |
| 1808 | 130 | 1808 | 130 | Processing fee under 37 CFR 1.17(i) (except provisionals) | _____ |
| 1454 | 1,330 | 1454 | 1,330 | Acceptance of unintentionally delayed claim for priority | _____ |

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 0.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:Typed or Printed Name: Michael A. BernadicouSignature: *Michael A. Bernadicou* Date: 3/24/04Reg. Number: 35,934 Telephone Number: (408) 720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450